



Presentation to Council of European Geodetic Surveyors (CLGE)

Reflections on the Shape of Good Governance: Standards and Regulation

13th May 2022

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Transforming Our World Serving Society

2030 AGENDA



FOR SUSTAINABLE DEVELOPMENT

- **The 2030 Agenda:** agreed and united global policy to transform the social, economic and environmental **dimensions of humanity and our planet**

COUNCIL OF EUROPE



COUNCIL OF EUROPE

CONSEIL DE L'EUROPE

- Our working realities are continuing volatile trends

- Rising confidence among people and diminishing confidence in government,
- Growing geopolitical tensions amongst nations that consider themselves both great and under threat,
- Increasing power of epidemics in a globalised world stretching global health system, and

EU DELIVERING ON THE UN 2030 AGENDA

Sustainable development in Europe and the World
#SustainableEurope #EU4SDGs #2030isNow

[High-Level Political Forum on Sustainable Development](#)

Shaping Good Governance



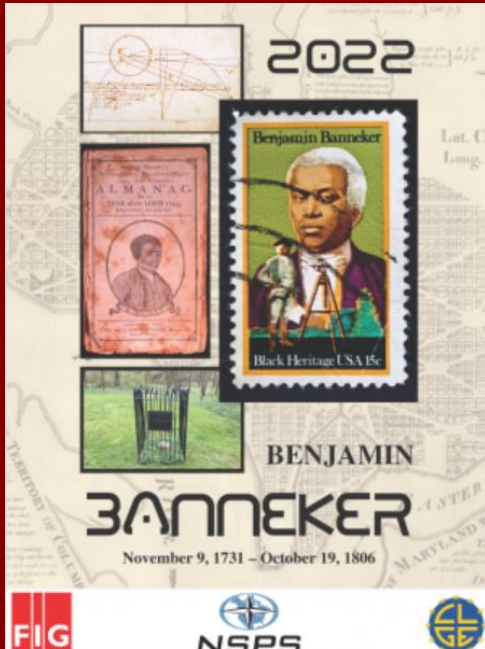
Is our profession prepared and resilient to see a better world for society and the planet?

- **Professionals** communities of: Surveyor's, Society and often Steer
- **Responsibility:**
 - The long term public interest
 - Understanding and adapting global trends that affect us locally
- **An imperative:** to bring positive changes to the built and natural environments, in the face of global challenges, we must be
 - Trusted by our stakeholders and society
 - Sustainable 21st century professional body

Good Governance: The Big Picture

Requires a **purposeful** and **continuing focus** in how our profession operates and builds trust to maintain confidence

- This is predicated upon:
 - Regulations
 - Standards



- My Member Association; The Royal Institution of Chartered Surveyors
- To explain: RICS Frameworks and Model.....

RICS: Shaped by our Royal Charter, Byelaws, Regulation and Rules

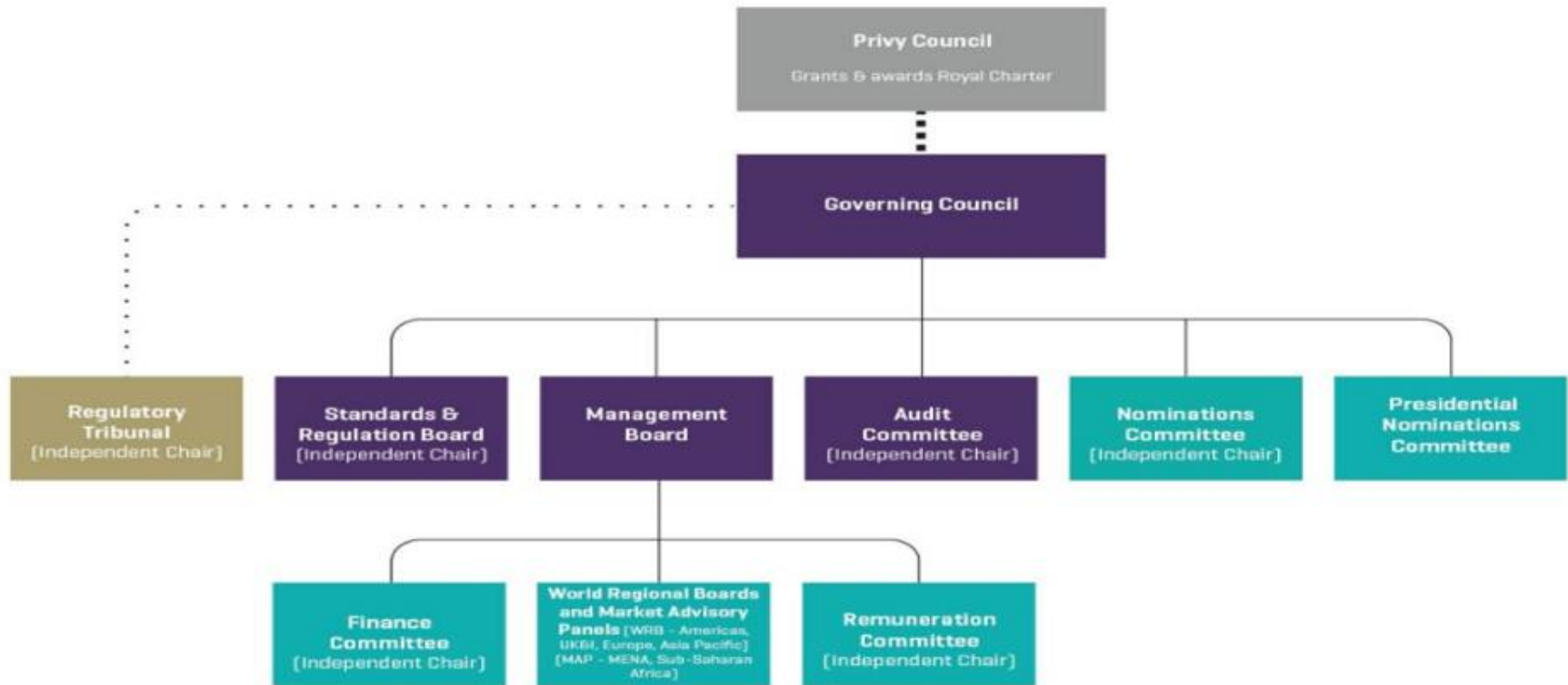





The Charter enables RICS to

- Self regulate
- Govern by a Governing Council
- Establishes Regulations and Standards
- Provides Regulatory Tribunal Rules

- RICS Combines governance bodies, as well as the Executive Team and Presidential Team, working together to set and execute strategy

RICS Governance Structure



-  Purple text boxes indicate formal governance bodies required by the Charter and Bye-Laws
-  Sand text box indicates independent decision-making body that reports on activity and performance only
-  Teal text boxes indicate discretionary governance bodies

RICS- Regulation Governance Model

The profession operates within regulatory framework, governed by an independently led board.

Standards and Regulation Board

1. Standards & Regulatory Board (SRB):

- Responsibility for strategy, policy and operational oversight of RICS' professional standards, entry and admission to profession, education and qualification standards and regulatory functions of RICS, and

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Regulatory governance and rules

2. Regulatory Rules and Procedures: SRB creates Rules and Policy in regards to:

- Registration of Members and Firms on regulatory schemes
- Regulatory Support (including regulatory schemes)
- Investigation and Disciplinary Process
- Readmission following disciplinary expulsion

Profession Support and Assurance guide

We monitor compliance and provide help and free training to firms and members to assist them with compliance

• **Importantly**

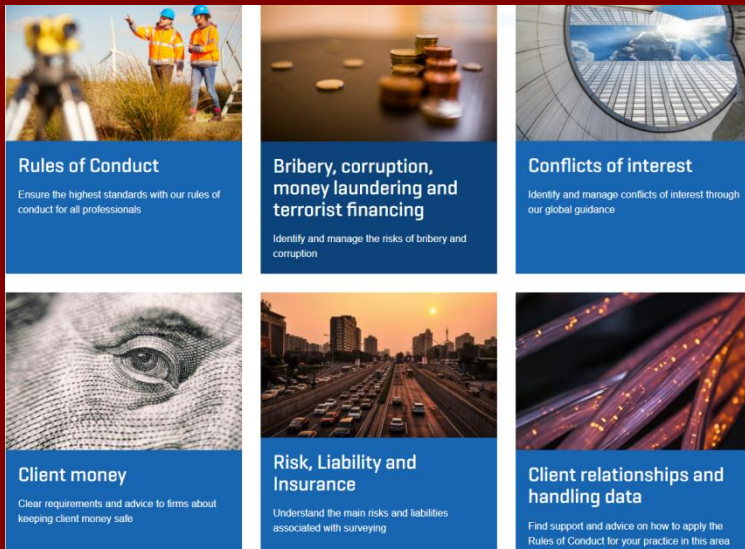
..... **Disciplinary decisions are made through a defined, independent process.**



3. Regulatory Tribunal:

- It is **independent** from RICS and its regulatory operations, and provides the profession with an effective, fair and independent judicial body.
- All of the Panels involved in disciplinary procedures are drawn from members of the Regulatory Tribunal
- **Tribunal powers:** caution; reprimand; undertakings; fine; conditions; expulsion
- **Members Liability :** ‘conduct liable to bring RICS into disrepute’; serious professional incompetence; failure to adhere; criminal conviction
- Publication
- **AND.....Mandatory ‘standards**

RICS Framework: Standards & Professional Development



Standards of

- **Rules of Conduct** overarching and supported by a number of mandatory standards (conduct of service, competency and consumer protection)
- **Sector / discipline specific** mandatory professional requirements & advisory guidance (building surveying, construction, dispute resolution, land, real estate, and valuation).
- **Education & Qualification Standards** accreditation members levels and CPD
 - And.....

...International Standards & External codes of Practice



- RICS supports “a vibrant profession by assuring international standards and providing professional guidance”.

E.G:

- ILMS
- IES public interest embraces but is not limited to:
 - the maintenance of reliable services for clients,
 - sustaining proper standards of conduct and behaviour, and
 - upholding the reputation of the profession
- Often Trust and Sustainability principles are foundational in standards...

ILMS INTERNATIONAL
LAND
MEASUREMENT
STANDARD

IES INTERNATIONAL
ETHICS
STANDARDS

Good Governance: ensuring S&R



Standards and
Regulation Board

- These values are those I (all professionals) seek and follow in life contributions.
- So ensuring standards and regulations are second nature in our profession
- Underpinning the values of:
 - Selflessness
 - Integrity
 - Objectivity
 - Accountability
 - Openness
 - Honesty
 - Leadership



Reflections on: The shape of good governance



It is an imperative for professionals to maintain trust; remain organisationally sustainable; and be resilient if we are to remain relevant

My call for our vibrant survey profession to serve society:

- Purpose
- Clarity
- Action



**Thank You
for
Your kind attention**

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